

MANAGING NATIONAL SECURITY RISKS FOR UNIVERSITY RESEARCHERS + THEIR START-UPS

A recording of the presentation is available. Please feel free to contact one of the panelists to obtain a copy or if you need additional information.

This panel brings together experts on trade compliance, export controls, national security, and sanctions. Together they will explore the strategies to navigate the complex cross-section of international funding of higher education research, start-ups, and US national security interests.

Topics include:

- Understanding export controls in a start-up or research environment, including limitations and restrictions
- Leveraging university resources for legal services, etc.
- Avoiding the red flags with thorough due diligence
- Building the basics of a compliance program with limited resources

Understanding government expectations and proactively managing those risks.

Moderator:

Nicholas M. Roegner, of Counsel, LimNexus LLP

Panelists:

[Stephanie Douglas](#), President, National Security Practice, Guidepost Solutions + Former, Senior Executive, FBI

James Min II, Partner, LimNexus LLP

[Robert Roach](#), Senior Advisor, Guidepost Solutions + Former Vice President, Chief Global Compliance Officer, New York University



STEPHANIE DOUGLAS

President, National Security Practice

Stephanie Douglas focuses on sensitive internal and white-collar crime investigations, corporate security programs, intellectual property (IP) protection and investigations, and proactively educating executives about insider threats. She has extensive experience in the management of criminal and national security investigations, domestic and global security operations and policy development and strategy. Ms. Douglas has had a distinguished career in both the private and public sectors. After 23 years, she retired as a Senior Executive from the Federal Bureau of Investigations where she served in a variety of influential roles. She also served as the senior director of corporate security for Pacific Gas & Electric prior to joining Guidepost Solutions.



ROBERT ROACH

Senior Advisor

Robert Roach is an internationally recognized expert on matters involving compliance, enterprise risk management, monitoring and internal investigations. As a member of Guidepost Solutions' Risk and Compliance and National Security teams, he is available to develop compliance, risk management and monitoring programs for U.S. domestic and international organizations in a number of complex regulatory areas, including: antitrust, export control and trade sanctions laws, data privacy, equal opportunity laws, the Foreign Corrupt Practices Act and other international antibribery laws, higher education and research compliance laws, supply chain ethics and international fair labor standards compliance. Prior to joining Guidepost, Mr. Roach served as the Vice President, Chief Global Compliance Officer at New York University, the largest private university in the U.S where he was responsible for establishing and leading NYU's compliance programs at three degree-granting campuses in New York, Abu Dhabi, and Shanghai and 11 additional global academic sites.