

## UNIVERSITY COMPLIANCE PROGRAMS NEED 3 LINES OF DEFENSE

As a result of allegations of sexual misconduct and relationship violence on campuses over the past few years, universities are changing campus culture through the development of effective independent ethics and compliance programs. Bradley Dizik and Robert Roach outline the necessary lines of defense to ensure the overall effectiveness of the programs and maintain objectivity of internal audit processes. Read the full article.



**ROBERT ROACH** 

Senior Advisor

Robert Roach is an internationally recognized expert on matters involving compliance, enterprise risk management, monitoring and internal investigations. As a member of Guidepost Solutions' Risk and Compliance and National Security teams, he is available to develop compliance, risk management and monitoring programs for U.S. domestic and international organizations in a number of complex regulatory areas, including: antitrust, export control and trade sanctions laws, data privacy, equal opportunity laws, the Foreign Corrupt Practices Act and other international antibribery laws, higher education and research compliance laws, supply chain ethics and international fair labor standards compliance. Prior to joining Guidepost, Mr. Roach served as the Vice President, Chief Global Compliance Officer at New York University, the largest private university in the U.S where he was responsible for establishing and leading NYU's compliance programs at three degree-granting campuses in New York, Abu Dhabi, and Shanghai and 11 additional global academic sites.



BRADLEY DIZIK

Executive Vice President, Emerging Issues + Technology

Bradley Dizik advises publicly held, private and not-for-profit institutions on integrity issues, including development and evaluation of ethics and compliance programs and related best practices, institutional structure and culture, risk oversight, board and committee structure, board and executive leadership, independent and internal investigations, and audits and assessments. Mr. Dizik is currently advising the President and Board of Regents of the University of Michigan on the implementation of internal controls and structural and cultural transformation efforts in response to its sexual misconduct crisis. He is also a key member of the team investigating and assessing the Southern Baptist Convention's handling of sexual abuse allegations and related treatment of survivors and practice reform efforts.