



ROBERT ROACH

SENIOR ADVISOR

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OVERVIEW

Robert Roach is an internationally recognized expert on matters involving compliance, enterprise risk management, monitoring and internal investigations.

As a member of Guidepost Solutions' Risk and Compliance and National Security teams, he is available to develop compliance, risk management and monitoring programs for U.S. domestic and international organizations in a number of complex regulatory areas, including: antitrust, export control and trade sanctions laws, data privacy, equal opportunity laws, the Foreign Corrupt Practices Act and other international antibribery laws, higher education and research compliance laws, supply chain ethics and international fair labor standards compliance. Mr. Roach can also develop compliance hotline and internal investigation processes; improve existing processes or conduct sensitive internal investigations.

Mr. Roach is currently a senior advisor on the Guidepost Solutions team who are working collaboratively with the University of Michigan to create a more dynamic ethics and compliance program and to promote a culture of safety and inclusion.

Prior to joining Guidepost, Mr. Roach served as the Vice President, Chief Global Compliance Officer at New York University, the largest private university in the U.S where he was responsible for establishing and leading NYU's compliance programs at three degree-granting campuses in New York, Abu Dhabi, and Shanghai and 11 additional global academic sites. He developed and implemented an effective global compliance and risk management program, including creating and carrying out compliance risk assessments, drafting compliance policies and procedures, and conducting compliance, ethics and risk management training. Mr. Roach was also responsible for NYU's Code of Ethical Conduct, and as part of these responsibilities he established and maintained NYU's compliance hotlines and conducted

internal investigations and monitoring globally, including investigations in the United States, the European Union, Africa and the Middle East, and Asia. Mr. Roach reported directly to NYU's Audit and Compliance Committee of the Board of Trustees.

While at NYU, Mr. Roach also served as Adjunct Professor of Business Ethics, NYU Stern Langone MBA program; Visiting Professor of Business Ethics at NYU Shanghai, and Visiting Professor at NYU Abu Dhabi where he taught risk management in the Engineering Division.

Prior to NYU, Mr. Roach worked for more than 20 years in the public sector for the New York City Department of Investigations, the New York County District Attorney's Office and the New York Attorney General's Office.

Mr. Roach served as the National Chairperson of the American Association of Corporate Counsel, Corporate Compliance and Ethics Committee. The National Association of College and University Attorneys (NACUA) presented him with its First Decade Award for his significant contributions to NACUA in compliance and higher education law.

Earlier in his career, Mr. Roach worked as a civil rights attorney with the American Civil Liberties Union and the Legal Service Corporation. His accomplishments include successful challenges to unconstitutional conditions at the Danville, VA prison and the removal of the monthly newsletter of an LBGT organization from the Virginia Beach Library System. At the request of the ACLU National Prison Project, he conducted the successful criminal defense of an inmate charged with an attempted escape from Virginia's death row in the Mecklenburg Maximum Security Prison. In Florida, Mr. Roach brought successful class action law suits resulting in a federal court injunction desegregating of Collier County FL school system; a federal court injunction and declaratory judgment holding that the City of Belle Glade FL discriminated on the basis on race in its housing and building code enforcement, and a federal court injunction barring the Immokalee FL Housing Authority from discriminating against migrant and seasonal farmworkers in the award of federal housing benefits.

SOLUTIONS

Risk + Compliance

Corporate

Trade Compliance

National Security Services

EDUCATION

- Juris Doctor, Georgetown University Law Center
- Bachelors in Business Administration, Temple University

CERTIFICATIONS

- Certified Compliance Professional
- Certified in Healthcare Research Compliance
- Certified Fraud Examiner