



MARY STUTZMAN

SENIOR MANAGING DIRECTOR

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OVERVIEW

Mary Stutzman, a business intelligence and investigative professional, has more than 25 years of experience working on investigative and compliance matters for Fortune 500 companies and major international law firms.

Since joining Guidepost Solutions, Ms. Stutzman has participated in several integrity monitorships involving the creation and implementation of compliance policies and procedures and the assessment of compliance activities in a number of different industries.

Prior to joining Guidepost, Ms. Stutzman spent several years with a consulting firm that specialized in corporate investigations and business intelligence assignments, including due diligence, litigation support, asset searches, background screening and competitive analysis. There she served in both case management and investigative research capacities.

Earlier in her career Ms. Stutzman was the team leader in the Healthcare Practice at Find/SVP, a business consulting firm. She managed a group of healthcare consultants focused on the pharmaceutical and biotech sectors, providing primary and secondary competitive intelligence to clients.

SOLUTIONS

Due Diligence + Business Intelligence
Monitorships

Risk + Compliance

Financial Crime Consulting

Investigations + Business Intelligence

Corporate Integrity Monitoring

Banking + Financial Monitorships

EXPERIENCE

- Co-leader of a working group on the monitoring team assigned to ensure the New York City Housing Authority satisfies the conditions of an agreement to correct chronically poor housing conditions endured by its 600,000+ residents.
- Project director on the independent expert team appointed by the Department of Justice as part of its non-prosecution agreement with Deutsche Bank AG in a matter involving the bank's participation and implementation of fraudulent tax shelters.
- Worked with the independent consultant appointed by the New York State Department of Financial Services in an OFAC/AML/BSA compliance program review at BNP Paribas.
- Member of the project team assembled by the compliance consultant for Point72 Asset Management L.P., a position appointed by the U.S. Attorney's Office for the Southern District of New York. The engagement focused on the firm's insider trading compliance procedures.
- Member of the BP integrity monitor team appointed by the Department of Justice as a result of its settlement over the Macondo oil spill in the Gulf of Mexico.
- Reviewed and assessed the compliance program at a publicly traded utilities company.
- Created and oversaw a women's and minority business enterprise program at a manufacturing company.
- Member of the BP monitor team appointed to oversee a company's North American trading activities, an assignment which also included the design and performance of an operational audit of the company's trading compliance framework.
- Reviewed the investigative practices at Hewlett Packard.
- Reviewed and assessed Smith Barney's compliance with a consent decree in the research analyst/investment bank settlement.
- Administered the distribution of a \$40 million Securities and Exchange Commission settlement fund for a company that was found to have engaged in market timing.

EDUCATION

- Master's Degree, University of California Los Angeles
- Bachelor of Arts, State University of New York at Albany
- Certificat d'Etudes Françaises from the Université de Nice, Nice, France