



## JOSEPH JAFFE ESQ.

CHIEF COMPLIANCE OFFICER,  
GENERAL COUNSEL, SENIOR  
MANAGING DIRECTOR

Office: +1 646 553 1376

Mobile: +1 917 213 0529

Email: [jjaffe@guidepostsolutions.com](mailto:jjaffe@guidepostsolutions.com)

## OVERVIEW

As Chief Compliance Officer and Deputy General Counsel, Joseph Jaffe oversees regulatory compliance issues and assists with legal matters for all of Guidepost Solutions' offices. In addition, he leads the company's Europe, Middle East and Africa practice.

Throughout his career, Mr. Jaffe has overseen and participated in a host of investigative and fact gathering assignments for foreign governments and state, federal, and private entities related to allegations of employee dishonesty, fraud, sexual harassment, union corruption, inventory and intellectual property theft, prevailing wage violations, corporate compliance and governance, money laundering, construction accidents, and violations of the Foreign Corrupt Practices Act and the U.S. Securities and Exchange Commission (SEC). He supervised numerous asset searches and due diligence investigations into the backgrounds of employees, entities and customers for corporations and financial institutions and coordinated litigation support assignments for trial attorneys in civil and criminal cases.

Mr. Jaffe joined Guidepost Solutions in 2012. His extensive background includes serving as District Attorney for Sullivan County, New York; spending seven years in the U.S. Attorney's Office for the Southern District of New York (SDNY) as an Assistant U.S. Attorney, Deputy Chief of the Criminal Division, Chief of the Official Corruption Section, and Administrative Assistant U.S. Attorney; and serving as an Acting Chief Inspector for the U.S. Drug Enforcement Administration where he investigated and tried complex business fraud, public corruption, terrorist and bombing cases.

Mr. Jaffe's vast experience extends to the private sector serving as an Independent Private Sector Inspector General (IPSIG), integrity monitor and outside compliance officer for governmental and regulatory agencies including the U.S. District Court, SEC, and private clients; leading the forensic and due diligence team at Proactive Integrity Associates;

specializing in civil and criminal litigation as a partner in general practice law; serving as a managing director at UHY Advisors FLVS, Inc., the firm's forensic, litigation practice; and instituting investigative consulting and security firms.

Mr. Jaffe's past affiliations include serving as Chairman of the Merit Selection Panel for Magistrate Judges of the SDNY; member of the New York State Third Department Judicial Nominating Committee; Chair of the New York State Bar Association's Criminal Justice Section and Founding Member and Secretary of the New York State Association of Criminal Defense Lawyers. He regularly lectures, presents and writes for attorneys, accountants, and the business and financial communities.

## SOLUTIONS

Monitorships  
Risk + Compliance  
Financial Crime Consulting  
Private Investigations  
DEA Regulatory Compliance  
Corporate Integrity Monitoring  
Corporate Investigations  
Litigation Support  
Due Diligence + Business Intelligence  
Construction Monitoring Services  
Privacy  
Federal Procurement  
Investigations + Business Intelligence

## EDUCATION

- Juris Doctor, New York University School of Law, *Cum Laude*
- Bachelor of Science, Cornell University