



## ERIC YOUNG

### SENIOR MANAGING DIRECTOR

Office: +1 212 817 6700

Mobile: +1 202 815 2380

Email: [eyoung@guidepostsolutions.com](mailto:eyoung@guidepostsolutions.com)

## OVERVIEW

Eric T. Young re-engineers governance, risk, and compliance programs of globally, complex companies to enable business growth which is reputable and sustainable. He also helps firms build more proactive and predictive risk assessment, control, electronic communications and surveillance processes with advanced regulatory technology and artificial intelligence.

Mr. Young has deep regulatory, ethics and compliance experience, having spent over 30 years in chief compliance officer and chief AML officer roles with JP Morgan, four foreign banks including UBS and BNP Paribas, as well as with General Electric and S&P Global Ratings.

He co-leads Guidepost's Financial Services practice, and is a member of the National Security as well as General Compliance practice.

Mr. Young remediates and transforms enterprise-, AML, economic sanctions, and anti-corruption compliance programs consistent with U.S. Department of Justice Sentencing Guidelines and Corporate Compliance guidance, as well as U.S. federal, state, and international standards such as the Bank Secrecy Act, FATF, OFAC, Foreign Corrupt Practices Act, and other anti-financial crime standards.

Since joining Guidepost in January 2022, Mr. Young provides litigation support relating to a major international fraud and wire transfer scandal; is the central point of media contact over economic sanctions, AML and innovative financial crime matters involving cryptocurrencies. He is frequently invited to speak as a conference panelist over the linkage of economic sanctions, national security, and U.S. Department of Justice prosecutions over ineffective compliance programs. On behalf of Guidepost, Mr. Young provided an analysis and the impact of the Russia sanctions to a group of foreign institutions operating in the United States.

Throughout his career, Mr. Young has evaluated, proposed, and upgraded Know Your Customer and AML surveillance systems and tools; enhanced anti-financial crime compliance reporting, data, and corporate compliance metrics to boards of directors; creating and executing AML compliance risk assessment, monitoring, and testing programs.

Mr. Young began his career at the Federal Reserve Bank of New York with training in financial analysis, bank examinations, and regulatory compliance.

In addition to his corporate work, Mr. Young is an adjunct professor of ethics and compliance classes at Fordham University School of Law. He is also an advisory board member for Compliance Week, and for multiple AI technology companies.

In December 2021, he received the inaugural Lifetime Achievement (Excellence in Compliance Community) Award by RAW Compliance, a non-profit global compliance platform and community.

Mr. Young is a frequent speaker and author and has been featured in the American Banker, Wall Street Journal, Financial Times, Dow Jones MarketWatch, Euromoney, Forbes, and Reuters. He also authored the book "Declaration of Independence" about the strategic partnership between chief compliance officers and boards of directors to hold management more accountable.

## SOLUTIONS

Risk + Compliance  
National Security Solutions  
Monitorships  
Technology Advisory Services  
Cybersecurity Consulting  
Banking + Financial Monitorships  
Environmental, Social, and Corporate Governance (ESG)  
Financial Crime Consulting  
Digital Asset Compliance + Consulting  
Litigation Support  
Emerging Issues + Technology

## EDUCATION

- Bachelor of Arts, Economics, Columbia University

## CERTIFICATIONS

- FINRA Series 24, 7, 99, SIE