

# THE DCRO INSTITUTE: POSITIVE RISK GOVERNANCE CASES

Christine Schleppegrell, a former senior counsel with the US Securities and Exchange Commission, and [Eric Young](#), will discuss the cost of ethical and compliance failures and the regulators' perspective on such events. Their presentation, "Self-Reporting and Self-Disclosure: A Case Study of How ABB Handled the Forced Choice No Board Member Wants to Encounter," will include a case study of how ABB handled the difficult choice many boards face – do we self-report or not – a situation no board members wants to encounter.



## ERIC YOUNG

Senior Managing Director

Eric T. Young advises highly regulated organizations on reengineering compliance, ethics, and regulatory technology programs to enable reputable and sustainable business growth. He has deep regulatory experience having spent close to 40 years in chief compliance officer roles at some of the world's largest institutions, including five global banks. Throughout his career, Mr. Young has remediated and transformed corporate compliance programs and financial crime compliance programs including sanctions; integrated compliance and ethics cultures between regions, countries and companies to ensure consistency across enterprises; built compliance budgets; enhanced reporting; created governance frameworks and risk assessment, monitoring and testing programs; closed compliance gaps; restructured compliance teams; and mentored junior staff to create a pipeline of future compliance leaders and enable grassroots compliance ideas, solutions and digital upgrades.