

# STEPPING UP TO THE PLATE OR THROWN UNDER THE BUS? WHAT THE DOJ WANTS FROM COMPLIANCE

Eric Young joins the webinar to discuss the controversial idea for chief compliance officers (and CEOs) to certify the effectiveness of their compliance programs as part of enforcement settlements. Topics include: What, exactly, are CCOs going to certify? What standard for “reasonably effective” compliance programs is supposed to guide us? Are fears about personal liability for a compliance failure legitimate, or overstated? How could CCOs maintain visibility and assurance into the effectiveness of their programs, to feel comfortable certifying your program?



## ERIC YOUNG

Senior Managing Director

Eric T. Young advises highly regulated organizations on reengineering compliance, ethics, and regulatory technology programs to enable reputable and sustainable business growth. He has deep regulatory experience having spent close to 40 years in chief compliance officer roles at some of the world’s largest institutions, including five global banks. Throughout his career, Mr. Young has remediated and transformed corporate compliance programs and financial crime compliance programs including sanctions; integrated compliance and ethics cultures between regions, countries and companies to ensure consistency across enterprises; built compliance budgets; enhanced reporting; created governance frameworks and risk assessment, monitoring and testing programs; closed compliance gaps; restructured compliance teams; and mentored junior staff to create a pipeline of future compliance leaders and enable grassroots compliance ideas, solutions and digital upgrades.