

GUIDEPOST IN MOTION: STATE OF COMPLIANCE 2022 WITH ALIXANDRA SMITH PART 1

Eric T. Young welcomes Alixandra Smith, a deputy chief of the Criminal Division at the U.S. Attorney's Office for the Eastern District of New York. The two discuss the Department of Justice's renewed approach about corporate culture, including the prosecution of companies and individuals for their specific criminal violations and misconduct. They also talk about the importance of compliance in the context of the successful prosecutions of Foreign Corrupt Practices Act and money laundering violations by Goldman Sachs.

(Disclaimer: The views expressed in this podcast are not necessarily those of the U.S. Department of Justice.)



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Eric T. Young advises highly regulated organizations on reengineering compliance, ethics, and regulatory technology programs to enable reputable and sustainable business growth. He has deep regulatory experience having spent close to 40 years in chief compliance officer roles at some of the world's largest institutions, including five global banks. Throughout his career, Mr. Young has remediated and transformed corporate compliance programs and financial crime compliance programs including sanctions; integrated compliance and ethics cultures between regions, countries and companies to ensure consistency across enterprises; built compliance budgets; enhanced reporting; created governance frameworks and risk assessment, monitoring and testing programs; closed compliance gaps; restructured compliance teams; and mentored junior staff to create a pipeline of future compliance leaders and enable grassroots compliance ideas, solutions

and digital upgrades.